

1 **Noninvasive machine-learning models for the detection of lesion-specific ischemia in**  
2 **patients with stable angina with intermediate stenosis severity on coronary CT**  
3 **angiography**

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## 6 **Abstract**

7 This study proposed noninvasive machine-learning models for the detection of lesion-specific ischemia (LSI) in  
8 patients with stable angina with intermediate stenosis severity based on coronary computed tomography (CT)  
9 angiography. This single-center retrospective study analyzed 76 patients (99 vessels) with stable angina who  
10 underwent coronary CT angiography (CCTA) and had intermediate stenosis severity (40%-69%) on invasive  
11 coronary angiography. LSI, defined as a resting full-cycle ratio  $<0.86$  or fractional flow reserve  $\leq 0.80$ , was  
12 determined in 40 patients (46 vessels) using a hybrid resting full-cycle ratio-fractional flow reserve strategy. The  
13 resting full-cycle ratio and/or fractional flow reserve were measured using invasive coronary angiography as  
14 references for functional severity indices of coronary stenosis in the machine-learning models. LSI detection  
15 models were constructed using noninvasive machine-learning models that predicted the resting full-cycle ratio and  
16 fractional flow reserve by feeding machine-learning models with image features extracted from CCTA. The  
17 diagnostic performance of the proposed LSI detection models was assessed using a nested 10-fold cross-validation  
18 test. The LSI detection models with the highest diagnostic performance achieved an accuracy of 0.88 (95% CI:  
19 0.81, 0.94), sensitivity of 0.78 (95% CI: 0.70, 0.86) and specificity of 0.96 (95% CI: 0.92, 1.00) on a vessel basis  
20 and 0.88 (95% CI: 0.81, 0.95), 0.80 (95% CI: 0.70, 0.86) and 0.97 (95% CI: 0.92, 1.00), respectively, on a patient  
21 basis. These findings suggest that LSI detection models with features extracted from CCTA can noninvasively  
22 detect LSI in patients with stable angina with intermediate stenosis severity.

## 24 **Keywords**

25 Noninvasive machine-learning models, Lesion-specific ischemia, Diameter stenosis severity, Hybrid resting full-  
26 cycle ratio and fractional flow reserve strategy

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**Acknowledgments**

The authors are grateful to all members of the Arimura Laboratory (<http://web.shs.kyushu-u.ac.jp/arimura>) whose comments contributed to this study.

**Declarations**

**Funding:** This study was supported by the Bayer Research Grant Program of the Japan Radiological Society (KJ-2019-2).

**Competing Interests:** All authors declare no financial interests or personal relationships that could have influenced the work reported in this paper.

**Ethical approval:** This retrospective observational study was approved by the Institutional Review Board of Kyushu University Hospital, which waived the need for written informed consent.

**Author contributions:** Conceptualization: HH and HA. Data curation: HH, TY, TK, and HA. Formal analysis: HH, HA, YY, MF, TM, and KI. Funding acquisition: YY. Investigation: HH, HA, and TY. Methodology: HH, HA, and YY. Project administration: HA, YY, and KI. Resources: YY, MF, TM, TK, and KI. Software: HH, HA, and TY. Supervision: HA, YY, and KI. Validation: HH and HA. Visualization: HH, HA, and TY. Writing—original draft: HH, HA, and YY.

## 1 **Introduction**

2 Stable angina, an ischemic heart disease in which coronary artery stenosis results in reduced blood flow to the  
3 myocardium, remains the leading cause of death globally [1]. Treatment options for stable angina are selected  
4 according to the severity of coronary stenosis based on vessel diameter and/or functional severity indices based on  
5 pressure [2-5]. To detect lesion-specific ischemia (LSI) causing stable angina, the severity of coronary stenosis  
6 should first be evaluated using invasive coronary angiography (ICA) with catheters inserted through the coronary  
7 arteries. However, if patients have stable angina with intermediate stenosis severity (40%-69%) measured with  
8 ICA [6-8], functional severity indices of coronary stenosis, that is, the fractional flow reserve (FFR) and resting  
9 full-cycle ratio (RFR), are measured after ICA to determine the presence of LSI [4].

10         The standard FFR is obtained from the ICA as the ratio of coronary pressure (Pd) to aortic pressure (Pa)  
11 distal to the stenosis, using a pressure-measuring guidewire during maximum hyperemia using vasodilators [9].  
12 However, the standard FFR remains underutilized due to its time and cost, as well as associated side effects (chest  
13 discomfort linked to the use of certain vasodilators) [10]. Recently, a new invasive approach to measure FFR  
14 without using pressure wires and induction of maximal hyperemia on the ICA [FFRangio system (CathWorks,  
15 Kfar Saba, Israel)] has become available by adapting rapid flow analysis (RFA) [11-13]. However, the FFRangio  
16 system remains invasive because ICA image acquisition is required, and the accuracy of FFRangio is lower than  
17 that of the standard FFR. RFR, which is defined on ICA as the minimum value of Pd/Pa within the entire cardiac  
18 cycle, can be used to detect LSI without inducing maximum hyperemia [14, 15]. RFR is increasingly used because  
19 it can significantly reduce the incidence of vasodilator-related side effects; however, it is not as accurate as FFR  
20 in assessing functional severity [16]. To overcome this issue, an invasive hybrid strategy using both the RFR and  
21 FFR has been proposed, which can reduce the use of vasodilators while maintaining a high classification agreement  
22 rate (95%) compared to FFR alone [3]. However, measurements of RFR and FFR using ICA can cause major  
23 cardiovascular complications or even death, as in the worst case [17-20]. Therefore, noninvasive predictions of  
24 RFR and FFR without ICA are desired for the detection of LSI in patients with stable angina and intermediate  
25 stenosis severity.

26         Two types of noninvasive approaches, computational fluid dynamics (CFD) and machine-learning (ML),

1 have been investigated. Early studies on CFD-based approaches demonstrated that computer-based techniques  
2 could detect LSI [21-24], but the long computational time required might render the techniques clinically infeasible.  
3 Furthermore, the accuracies of LSI detection of CFD-based approaches were lower than 0.5 for predicted FFRs in  
4 the range of 0.70-0.80 [22, 24]. On the other hand, several ML-based approaches have attempted to reduce the  
5 computational costs [25-27]. However, no previous study has investigated noninvasive approaches for the  
6 detection of LSI based on RFR and FFR simultaneously predicted with ML. Approaches with higher specificity  
7 and positive predictive values (PPVs) are needed for definitive diagnosis with fewer false positives, thereby  
8 avoiding unnecessary tests. However, previous studies did not demonstrate improvements in the specificities and  
9 PPVs of LSI detection for predicted FFRs in the range of 0.70-0.80.

10 We hypothesized that the prediction of RFR and FFR using ML models would allow the noninvasive  
11 detection of LSI with higher specificities and PPVs. ML models should be fed with image features obtained from  
12 noninvasive coronary computed tomography angiography (CCTA) with intravenous infusion of contrast media  
13 without using catheters. CCTA has a low risk of serious complications [28, 29]. The purpose of this study was to  
14 propose noninvasive ML models for LSI detection (hereafter, LSI detection models) that can predict functional  
15 severity indices (RFR and FFR) on CCTA. To demonstrate the feasibility of the proposed LSI detection models,  
16 we focused exclusively on patients with intermediate stenosis severity.

## 18 **Methods**

### 19 **Patient data**

20 This retrospective observational study was approved by the institutional review board of our hospital, which  
21 waived the need for written informed consent. This study enrolled 88 patients with stable angina who underwent  
22 CCTA from September 2019 to May 2021, followed by the measurement of RFR and FFR on the ICA within 60  
23 days, and had intermediate stenosis severity on the ICA. Intermediate ICA-based stenosis severity was defined as  
24 a lumen diameter between 40% and 69% [4] of the maximum stenotic section relative to the nonstenotic section.  
25 The need for ICA was decided based on clinical presentations, CCTA imaging findings, and results of noninvasive  
26 functional tests [30]. The exclusion criteria were low-quality CCTA images, prior myocardial infarction, and

1 previous coronary artery bypass grafting. Among the 88 patients, 12 were excluded, including 8 patients with low-  
2 quality CCTA images, 2 patients with previous coronary artery bypass graft, and 2 patients with inappropriate RFR  
3 measurements. Thus, a total of 76 patients were included in the analysis.

4 CCTA images of all the patients were acquired using a 320-slice computed tomography (CT) scanner  
5 (Aquilion Prime; Canon Medical Systems, Otawara, Japan). Prior to CCTA scanning, a sublingual nitroglycerin  
6 spray was administered to all patients. CCTA scans were triggered using an automatic bolus-tracking technique,  
7 with the region of interest placed in the ascending thoracic aorta. Both prospective triggering and retrospective  
8 gating were used for the CCTA acquisition. CCTA scan parameters were as follows: gantry rotation time, 0.275 s;  
9 tube voltage, 120 or 100 kV; matrix size,  $512 \times 512$ ; in-plane pixel size, 0.35 or 0.31 mm; slice thickness, 0.5 mm;  
10 and section interval, 0.25 mm. The CCTA dataset was anonymized, and the CCTA-based stenosis severity was  
11 evaluated by a cardiac radiologist (Y.Y.) with 15-years' experience. CCTA-based stenosis severity for all coronary  
12 segments  $\geq 2$  mm in maximum diameter was classified according to the guidelines [31].

13 ICA images were acquired using a standard protocol in at least two orthogonal directions per evaluated  
14 coronary artery segment. After visual assessment of the coronary lesions on the ICA, invasive RFR and/or FFR  
15 were additionally measured using a PressureWire™ X Guidewire 0.014 (Abbott Vascular Inc., Santa Clara, CA,  
16 USA) positioned far distal to the lesion with an intermediate ICA-based stenosis severity. To measure FFR,  
17 maximum hyperemia was induced using intravenous adenosine (140  $\mu\text{g}/\text{kg}/\text{min}$ ). Figure 1 shows the flowchart of  
18 LSI detection based on the hybrid RFR-FFR strategy [3, 32] used in this study. In this study, RFRs were measured  
19 in vessels with an intermediate ICA-based stenosis severity. Vessels with  $\text{RFR} > 0.93$  were regarded as non-LSI,  
20 while vessels with  $\text{RFR} < 0.86$  were regarded as LSI. For cases with an intermediate RFR (0.86 to 0.93), the FFR  
21 was additionally measured to reclassify the vessels. Finally, vessels with  $\text{FFR} \leq 0.80$  were regarded as LSI, while  
22 vessels with  $\text{FFR} > 0.80$  were regarded as non-LSI. RFR was measured in 76 patients (99 vessels) and FFR was  
23 obtained in 64 patients (78 vessels).

#### 24 25 **Overall scheme for developing noninvasive LSI detection models**

26 Figure 2 illustrates the overall workflow of the proposed approach for noninvasive LSI detection. Forty-two

1 noninvasive features were extracted from the CCTA images and clinical information. Among these, the significant  
2 features for predicting the RFR and FFR were determined separately using 5 feature selection methods. RFR and  
3 FFR prediction models were built using 10 regression algorithms with significant features. LSI detection models  
4 were constructed by incorporating RFR and FFR prediction models into the hybrid RFR-FFR strategy. Finally, the  
5 LSI detection models were evaluated for accuracy, sensitivity, specificity, PPV, and negative predictive value  
6 (NPV). The model with the highest accuracy for predicted FFRs in the range of 0.70 to 0.80 was considered as the  
7 best LSI detection model.

#### 8 9 Feature extraction

10 A total of 42 noninvasive features were extracted from the CCTA images and clinical information. The definitions  
11 of the features used in the prediction models are summarized in Table 1. Twenty-seven features were independently  
12 and randomly measured on CCTA using a 3-dimensional image analysis system (Synapse Vincent; Fujifilm, Tokyo,  
13 Japan) based on the consensus of two radiological technologists (H.H. and T.Y., with 10 and 3 years of experience  
14 in cardiac CT, respectively) and a cardiac radiologist (Y.Y., with 15 years of experience). The observers were  
15 blinded to the patients' histories and the ICA results. All discordant cases (n=4) were re-evaluated for consensus  
16 interpretation. In cases with multiple lesions in a vessel, features were extracted for each lesion.

17 The following features related to coronary artery stenosis were extracted: the difference and differential  
18 ratio in CT values between nonstenotic and stenotic sections and lesion length (Fig. 2). CT values for nonstenotic  
19 (CT<sub>n</sub>) and stenotic sections (CT<sub>s</sub>) of the coronary artery were measured using cross-sectional images. The CT<sub>n</sub>  
20 value was defined as the mean CT value of nonstenotic sections proximal and distal to the stenosis, while the CT<sub>s</sub>  
21 value was defined as the mean CT value of the section with CCTA-based stenosis severity  $\geq 25\%$ . The difference  
22 and differential ratio of CT values between CT<sub>n</sub> and CT<sub>s</sub> (defined as the ratio of CT<sub>n</sub> minus CT<sub>s</sub> to CT<sub>n</sub>) were  
23 determined. The lesion length was measured on stretched curved multiplanar reconstruction images as the length  
24 of the section with a CCTA-based stenosis severity  $\geq 25\%$ . CCTA-derived myocardial mass (CMM) perfused into  
25 each coronary artery was calculated using an algorithm based on Voronoi tessellation [33, 34]. CMMs were  
26 calculated at each of the two observer-specified points: at the origin of the main coronary artery, including the

1 target lesion, and at the end of the target lesion. A myocardial mass at risk was defined as a CMM when the end of  
2 the target lesion was indicated [35].

3 Additionally, 15 features were extracted from clinical information, including age, sex, and body mass index.

#### 4 5 Feature selection

6 Among the extracted 42 features, significant features in the prediction models were selected using 5 feature-  
7 selection methods. The significant features were ranked based on the product of the selected frequencies and  
8 coefficients. The best features are those used in the best LSI detection model. Among the 5 feature selection  
9 methods, three are wrapper methods (recursive feature elimination, sequential forward floating selection, and  
10 sequential backward floating selection) and two are embedded methods (elastic net and extreme gradient boosting).  
11 For each feature selection method, the RFR and FFR prediction models were adjusted to select no more than 7 and  
12 6 features, respectively. This is in accordance with the rule of thumb that the largest number of features must be  
13 less than or equal to one-tenth of the number of cases (i.e., the largest number of features:  $\text{round}[76/10] = 7$  for  
14 RFR and  $\text{round}[64/10] = 6$  for FFR) [36, 37].

#### 15 16 RFR and FFR prediction model construction

17 RFR and FFR prediction models were constructed independently using 10 different regression algorithms: support  
18 vector regression (SVR), least absolute shrinkage and selection operator, ridge, elastic net, partial least squares  
19 (PLS), k-nearest neighbor, multilayer perceptron, random forest, extreme gradient boosting, and light gradient  
20 boosting. For each regression algorithm adapted to the significant features, the optimal prediction model was  
21 identified by performing a nested 10-fold cross-validation. The optimal prediction model was adjusted to minimize  
22 the root mean square error between the training and validation datasets. The predicted RFR and FFR were set to  
23 1.0 if they exceeded 1.0. Eighty-eight prediction models (Table 2) were built from a combination of 5 feature  
24 selection methods and 10 regression algorithms to find an optimal prediction model for the RFR and FFR.

## Construction and evaluation of LSI detection models

A total of 7744 LSI detection models were constructed by incorporating 88 RFR and FFR prediction models into the hybrid RFR-FFR strategy (Fig. 1). All models were implemented using Python [version 3.11]. LSI detection models were tested on all vessels in the dataset using a nested 10-fold cross-validation test [38]. The advantage of the nested 10-fold cross-validation test is that the models can be trained using validation tests in the inner loop and an independent test in the outer loop. These noninvasive LSI detection models were evaluated with reference to the invasive hybrid RFR-FFR strategy according to their accuracy, sensitivity, specificity, PPV, and NPV for detecting LSI.

## Statistical analysis

All statistical analyses were performed using JMP Pro version 16.2.0 (SAS Institute Inc., Cary, NC, United States). Continuous variables are presented as mean  $\pm$  standard deviation or median, as appropriate. Categorical variables were expressed as frequencies and percentages. Differences in continuous baseline characteristic variables between the LSI and non-LSI cohorts were compared using the two-tailed Student t-test; differences in categorical baseline variables were analyzed using the chi-square test or Fisher's exact test, as appropriate. Correlations between the predicted and measured values of the functional severity indices were analyzed using Pearson's correlation coefficient. The degree of agreement between the predicted and measured values of the functional severity indices was assessed using Bland-Altman analysis. The limits of agreement in the analysis were defined as the mean difference  $\pm 1.96 \times$  the standard deviation of the differences, and systematic biases in the differences between the predicted and measured values were evaluated. Patients with LSI in at least one vessel were considered to be positive for LSI. Accuracy, sensitivity, specificity, PPV, and NPV were reported with corresponding 95% confidence intervals. A *P*-value  $<.05$  was considered statistically significant.

## Results

A total of 76 patients (99 vessels) were evaluated using an invasive hybrid RFR-FFR strategy; 40 patients (46 vessels) were classified as LSI (mean age,  $74 \pm 12$  [standard deviation] years; 26 men) and 36 patients (53 vessels)

1 were classified as non-LSI (mean age,  $70 \pm 12$  years; 25 men). The patient demographics are summarized in Table  
2 3.

3 The combined ridge plus SVR (ridge-SVR) and PLS plus ridge (PLS-ridge) models achieved the highest  
4 diagnostic performance for noninvasively detecting LSI among the 7744 prediction models; Table 4 lists the top 5  
5 features used in the 10 subsets of these models. The top-ranked features used in the RFR and FFR prediction  
6 models were extracted from the CCTA images. In the RFR prediction model, the differential ratio of CT values  
7 and myocardial mass at risk were the most significant features. In addition, the difference in CT values, product  
8 of the differential ratio in CT values and lesion length, and CMM in the major coronary artery containing the lesion  
9 were significant features in the FFR prediction model. Scatter plots of the top features of the ridge-SVR model are  
10 shown in Figure 3.

11 Table 5 shows the diagnostic performances of the ridge-SVR and PLS-ridge models on vessel and  
12 patient bases. On a vessel basis, the ridge-SVR and PLS-ridge models exhibited the same accuracy (0.88, 87/99).  
13 The PLS-ridge model achieved the highest sensitivity (0.83; 38/46), whereas the ridge-SVR model achieved the  
14 highest specificity (0.96; 51/53). The ridge-SVR and PLS-ridge models exhibited root-mean-square errors of 0.083  
15 and 0.081, respectively. On a patient basis, the ridge-SVR and PLS-ridge models showed comparable accuracies  
16 (0.88 and 0.87, 67/76, and 66/76, respectively). Furthermore, the PLS-ridge model achieved the highest sensitivity  
17 (0.85; 34/40), whereas the ridge-SVR model achieved the highest specificity (0.97; 35/36). For vessels with a  
18 predicted FFR in the range of 0.70 to 0.80, the ridge-SVR model achieved the highest accuracy (0.89, 17/19) and  
19 was considered the best LSI detection model. Previous studies on LSI detection based on invasive and noninvasive  
20 approaches are summarized in Table 6. The proposed noninvasive approach had the highest specificity (0.96).  
21 Representative patients with LSI and non-LSI based on invasive FFR measurements are shown in Figure 4. In the  
22 first patient (Fig. 4a and b), invasive and predicted FFRs were both 0.75 for the lesion, and the LSI was accurately  
23 detected. In the second patient (Fig. 4c and d), the invasive and predicted FFRs were both 0.81 for the lesion,  
24 which was determined to be non-LSI.

25 Figures 5 and 6 show the results of the evaluation of the predicted RFRs and FFRs, respectively, by  
26 Pearson correlation and Bland-Altman analyses. The correlation coefficient between invasive RFR and RFR in the

1 ridge prediction model indicated a moderate positive correlation ( $r=0.46$ ,  $P<.001$ ) (Fig. 5a). In the Bland-Altman  
2 analysis (Fig. 5b), the mean difference between the RFR in the ridge prediction model and the invasive RFR was  
3 0.002, and most of the data were distributed within the limits of agreement (-0.15 to 0.15). The correlation  
4 coefficient between the FFR in the SVR prediction model and invasive FFR indicated a moderate positive  
5 correlation ( $r=0.55$ ,  $P<.001$ ) (Fig. 6a). The results for the FFR SVR prediction model were similar to those for the  
6 RFR ridge prediction model, with a mean difference value of -0.0007 and limits of agreement ranging from -0.15  
7 to 0.15 (Fig. 6b).

8

## 9 **Discussion**

10 We found that our prediction model for noninvasive LSI detection performed well by utilizing the features  
11 extracted from CCTA images and clinical information of 76 patients with stable angina. The ridge-SVR model,  
12 which achieved the highest accuracy of 0.89 for predicted FFRs in the range of 0.70 to 0.80, was considered to  
13 be the best model for noninvasive detection of LSI. Furthermore, the ridge-SVR model showed the highest  
14 accuracies of 0.88 for vessels and 0.88 for patients and highest specificity of 0.96 for vessels and 0.97 for  
15 patients. The significant features utilized in the ridge-SVR model, including the difference and differential ratio  
16 in CT values, along with the myocardial mass, were extracted from the noninvasive CCTA images.

17 The accuracy of LSI detection close to the FFR threshold of 0.80 is crucial for guiding appropriate  
18 treatments for stable angina. In the present study, the accuracy of LSI detection reached 0.89 in the range of  
19 predicted FFRs of 0.70 to 0.80, indicating a substantial improvement compared to those of 0.46 and 0.32 in CFD-  
20 based studies by Cook et al. [22] and Matsumura-Nakano et al. [24]. This improvement may be attributed to small  
21 variations in the invasive FFR of training data. Specifically, 28% (22/78) of patients in this study were in the range  
22 of invasive FFR of 0.70 to 0.80, compared with 13% in the study of Cook et al. [22]. Furthermore, 53/78 (68%)  
23 vessels presented with lesions proximate to the FFR threshold (0.70-0.90). The limited range of invasive FFR in  
24 our study resulted from targeting lesions with intermediate ICA-based stenosis severity and employing a hybrid  
25 RFR-FFR strategy. In addition, ML-based methods offer a distinct advantage over CFD-based methods owing to  
26 their significantly shorter computation times [25, 26].

1           The proposed approach can predict RFRs and FFRs simultaneously and reveal significant features that  
2 contribute to their prediction. Three types of conventional approaches (RFA, CFD, and ML) for FFR prediction  
3 provided predicted FFRs without disclosing significant features. In this study, the best features for predicting RFR  
4 and FFR were the difference and difference ratio in CT values, as well as the myocardial mass on CCTA (Table 4).  
5 Previous studies have shown that these features are beneficial for LSI detection [33, 39, 40]. Thus, features  
6 extracted from CCTA images could be used for noninvasive measurements of RFR and FFR using ML.  
7 Furthermore, it is possible to predict post-revascularization RFR and FFR by identifying the significant features  
8 that contribute to the prediction of RFR and FFR. Therefore, the clinical applicability of these models can be  
9 expanded.

10           The proposed ML-based models for the prediction of the RFR and FFR yielded the highest specificity  
11 and PPV among previous studies on LSI detection approaches (Table 6). The specificity of the proposed ML model  
12 was superior to those of the CFD-based (0.93) and ML-based models (0.94) in the study by Tesche et al. [25]. This  
13 may be because RFR and FFR were simultaneously predicted using ML for the detection of LSI. In contrast,  
14 previous studies based on RFA, CFD, and ML reported higher sensitivities for LSI detection (0.81-0.91) [13, 23,  
15 26] than that in the present study (0.78). Our study targeted patients exhibiting intermediate ICA-based stenosis  
16 severity (40%-69%), while previous studies included apparent LSI cases ( $\geq 70\%$  ICA-based stenosis severity). As  
17 the target population has a significant impact on the diagnostic performance, we could develop an LSI detection  
18 system for all stenosis severities in future studies.

19           CCTA-based stenosis severity assessment has proven to be more sensitive and offers a higher NPV than  
20 current noninvasive functional testing methods, which helps reduce the number of non-LSI patients during ICA  
21 [41, 42]. The proposed ML-based models can accurately exclude lesions with an invasive FFR  $> 0.80$  and CCTA-  
22 based stenosis severity  $\geq 50\%$  from the LSI, allowing non-invasive anatomic and physiologic assessment of stable  
23 angina. An effective diagnostic process can be established in which the proposed models can be applied after  
24 CCTA-based stenosis severity assessment without additional testing or exposure to radiation or contrast agents  
25 [43, 44]. In addition, the proposed models may simplify LSI detection testing and increase diagnostic accuracy,  
26 thereby improving the quality of patient care and promoting the efficient use of healthcare resources [45]. As a

1 result, healthcare costs are reduced and patients are more receptive to testing, making early diagnosis and treatment  
2 more feasible [46]. However, further investigation is necessary to fully explore the potential of these advantages.

3 The present study had some limitations. First, this was a single-center, retrospective study involving a  
4 relatively small cohort of patients. Therefore, it was validated using a nested 10-fold cross-validation test but  
5 lacked an external test cohort from different institutions. A larger study is required to validate our findings and to  
6 provide more representative results. Second, we did not measure coronary artery calcium scores. This is because  
7 we did not perform non-enhanced CT imaging for calcium scoring during the CCTA examinations at our institution.  
8 High calcium scores prevent the accurate measurement of CT values, which was considered a useful feature in this  
9 study, and could have affected the diagnostic performance of the LSI detection models. Third, most feature  
10 extractions from the CCTA images were performed manually. Although the features were measured by two  
11 radiological technologists to reduce uncertainty and subjective bias, automated methods are necessary to improve  
12 the reproducibility and objectivity. Fourth, this study included patients with stable angina and excluded those with  
13 acute coronary syndrome or history of coronary artery bypass grafting. Therefore, the generalizability of our LSI  
14 detection models to patients with ischemic heart disease is uncertain.

## 16 **Conclusions**

17 We proposed noninvasive LSI detection models based on the prediction of RFR and FFR using CCTA. The results  
18 of this study demonstrate that the proposed model using CCTA could be feasible for noninvasive LSI detection in  
19 patients with stable angina and intermediate stenosis severity. The LSI detection model employing ridge-SVR was  
20 found to be the most effective, achieving an accuracy of 0.88, sensitivity of 0.78, and specificity of 0.96 on a vessel  
21 basis and 0.88, 0.80, and 0.97, respectively, on a patient basis. Furthermore, the significant features extracted from  
22 CCTA demonstrated the ability to predict both the RFR and FFR.

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1 **Statements and Declarations**

2 **Funding:** This study was supported by the Bayer Research Grant Program of the Japan Radiological Society (KJ-  
3 2019-2).

4

5 **Competing Interests:** All authors declare no financial interests or personal relationships that could have  
6 influenced the work reported in this paper.

7

8 **Author contributions:** Conceptualization: HH and HA. Data curation: HH, TY, TK, and HA. Formal analysis:  
9 HH, HA, YY, MF, TM, and KI. Funding acquisition: YY. Investigation: HH, HA, and TY. Methodology: HH, HA,  
10 and YY. Project administration: HA, YY, and KI. Resources: YY, MF, TM, TK, and KI. Software: HH, HA, and  
11 TY. Supervision: HA, YY, and KI. Validation: HH and HA. Visualization: HH, HA, and TY. Writing—original  
12 draft: HH, HA, and YY.

13

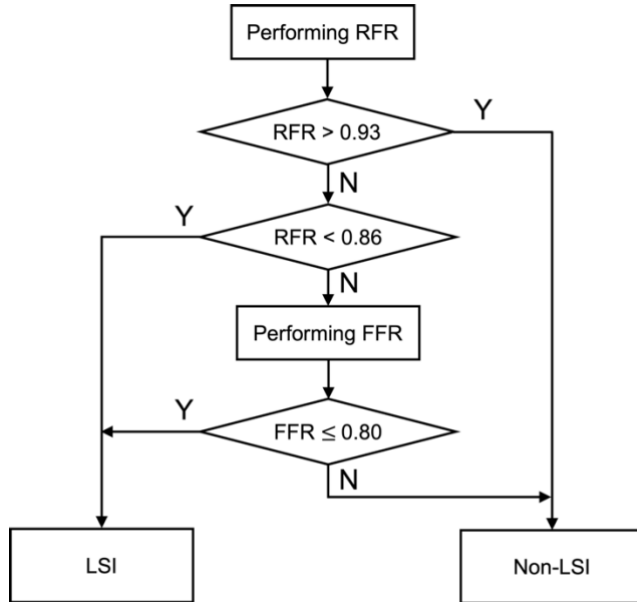
14 **Ethical approval:** This retrospective observational study was approved by the Institutional Review Board of  
15 Kyushu University Hospital, which waived the need for written informed consent.

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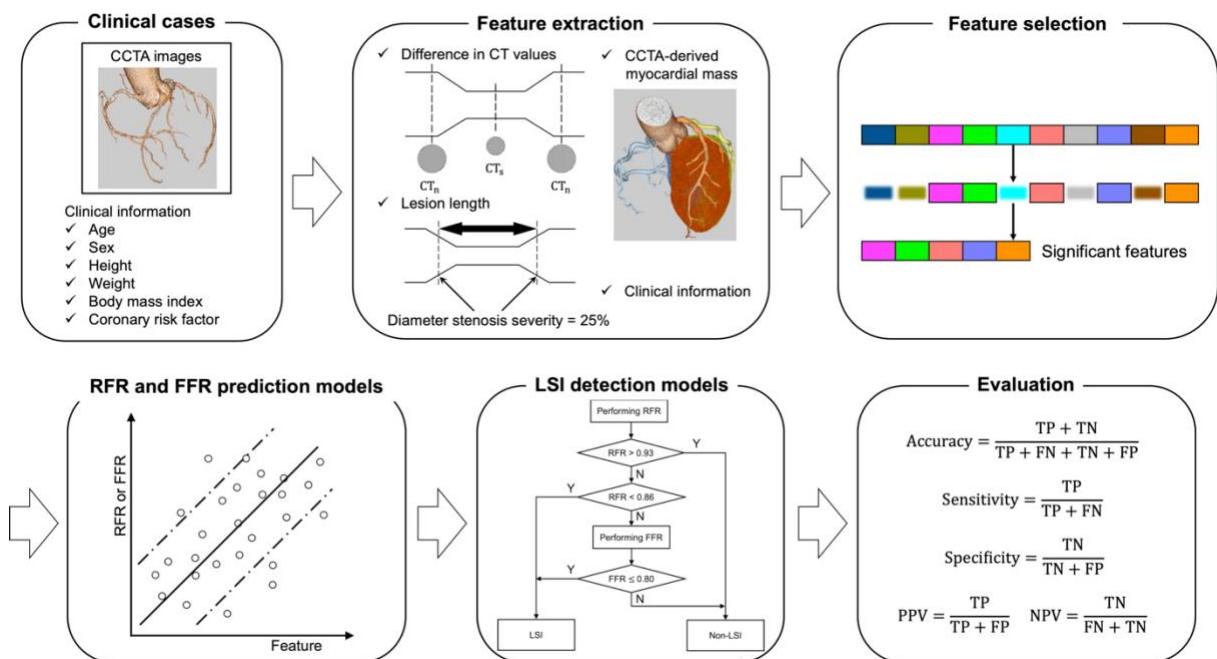
1 **Figure legends**

2 **Fig. 1** Flowchart for lesion-specific ischemia (LSI) detection based on the hybrid RFR-FFR strategy. RFR = resting  
 3 full-cycle ratio; FFR = fractional flow reserve.



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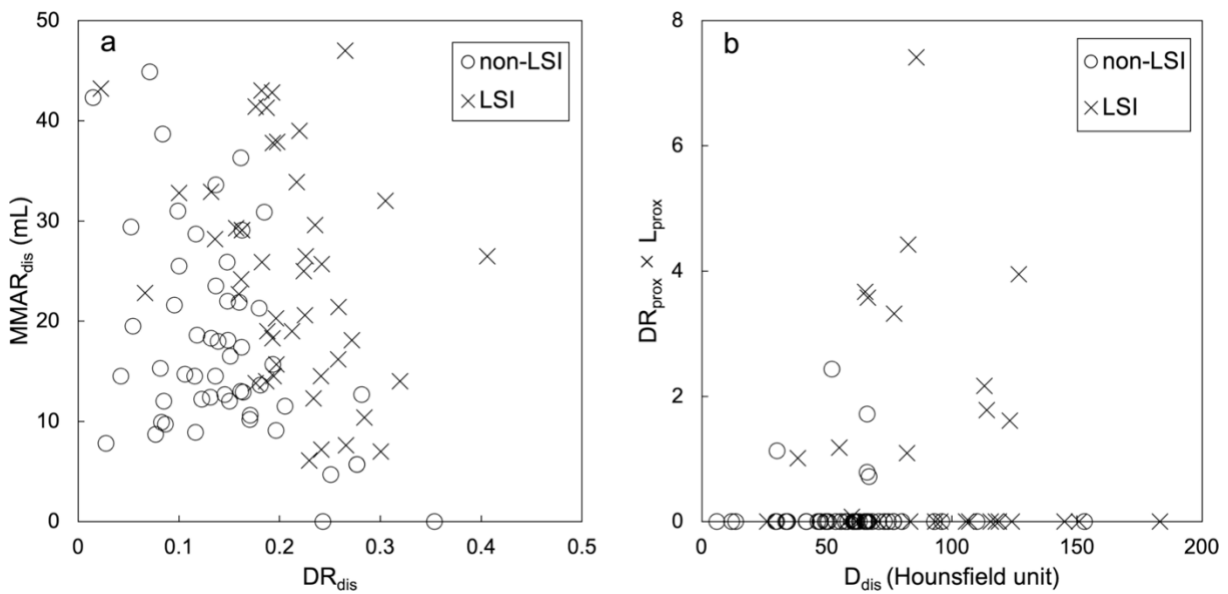
6 **Fig. 2** Overall workflow for developing noninvasive LSI detection models. LSI = lesion-specific ischemia, CCTA  
 7 = coronary computed tomography angiography, RFR = resting full-cycle ratio, FFR = fractional flow reserve, TP  
 8 = true positive, TN = true negative, FN = false negative, FP = false positive, PPV = positive predictive value, NPV  
 9 = negative predictive value.



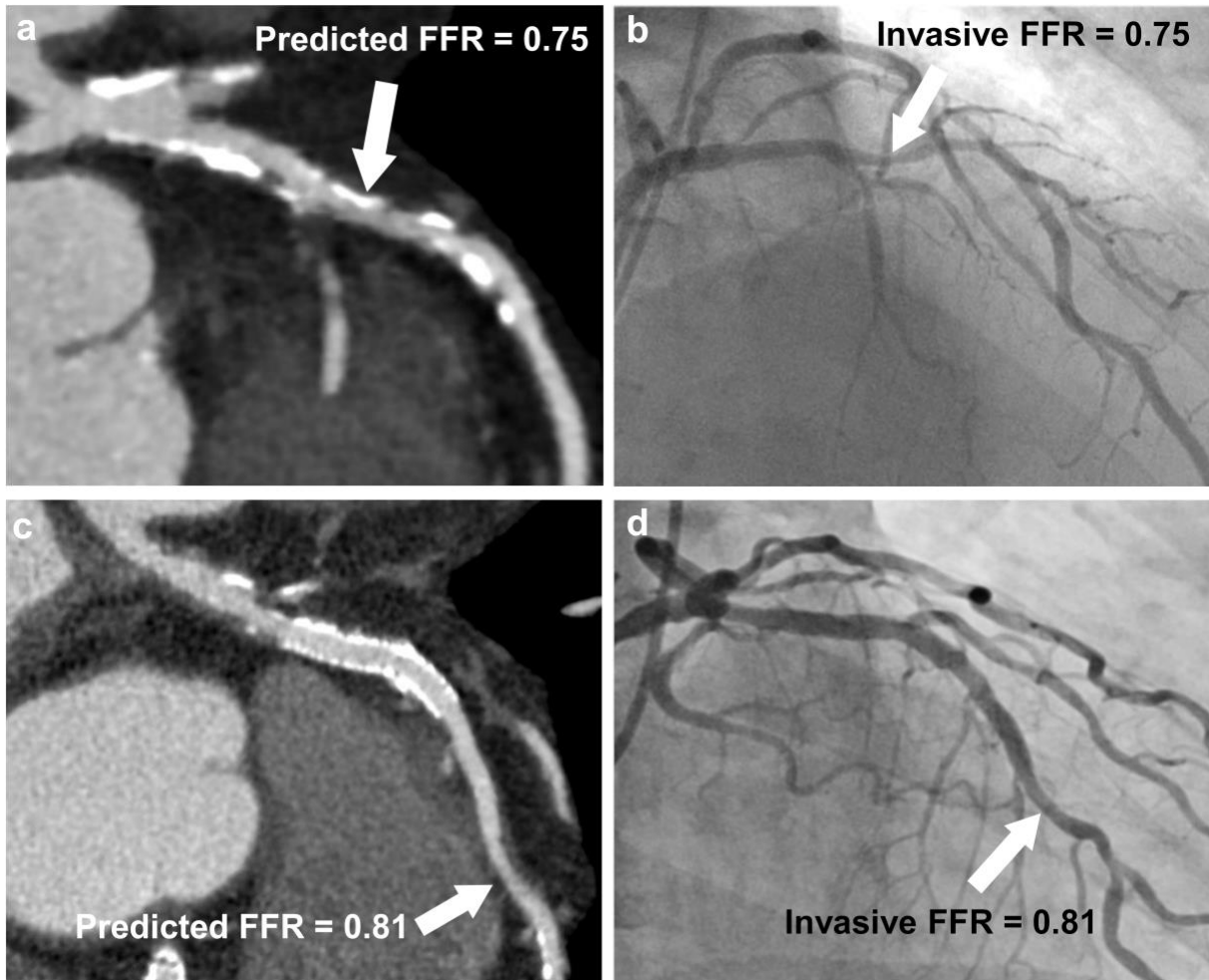
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**Fig. 3** Scatter plots of the best features selected for the LSI detection models from the (a) RFR ridge prediction model and (b) FFR SVR prediction model. LSI = lesion-specific ischemia, RFR = resting full-cycle ratio, FFR = fractional flow reserve, SVR = support vector regression,  $DR_{dis}$  = differential ratio of CT values between non-stenotic and stenotic section in distal lesion,  $MMAR_{dis}$  = coronary CT angiography-derived myocardial mass at risk in distal lesion,  $D_{dis}$  = difference in CT values between non-stenotic and stenotic section in distal lesion,  $DR_{prox}$  = differential ratio of CT values in proximal lesion,  $L_{prox}$  = lesion length in proximal lesion.

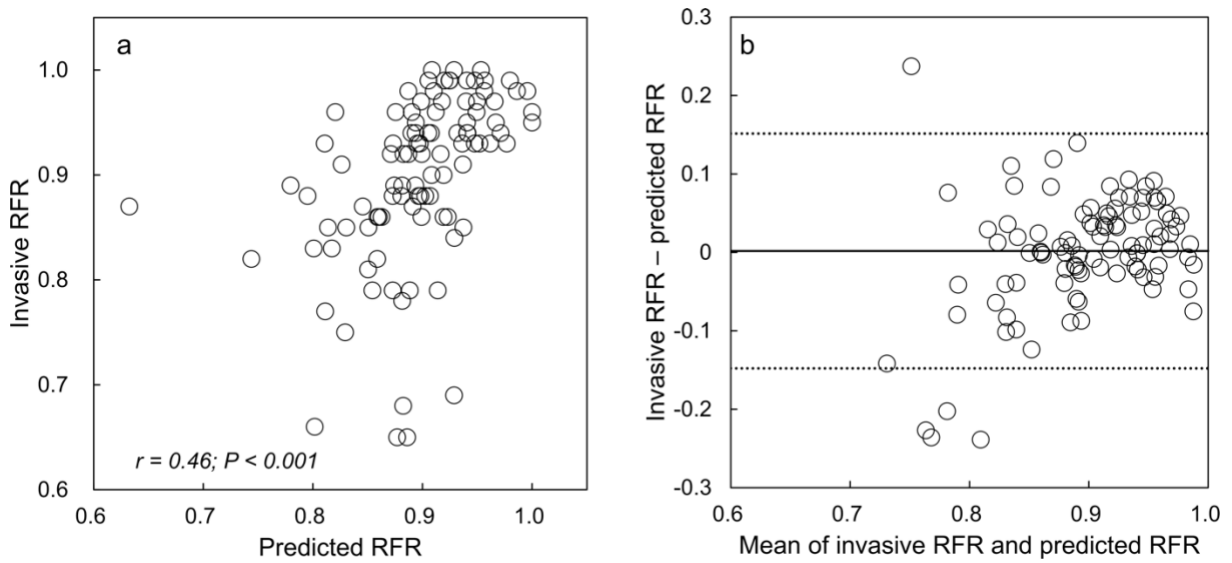


**Fig. 4** Two patients with diameter stenosis severity  $\geq 50\%$  (white arrow) on CCTA images (a and c). In the first patient (a and b) in a 66-year-old man presenting with chest pain, the LSI detection model with ridge-SVR classified the stenosis in the middle LAD (white arrow) as LSI, with a predicted FFR of 0.75 (a). Invasive coronary angiography also shows the stenosis (white arrow), which was classified as LSI with an invasive FFR of 0.75 (b). In the second patient (c and d) in a 66-year-old man presenting with chest pain, the LSI detection model with ridge-SVR classified the stenosis in the middle LAD (white arrow) as non-LSI, with a predicted FFR of 0.81 (c). Invasive coronary angiography also shows the stenosis (white arrow), which was classified as non-LSI with an invasive FFR of 0.81 (d). CCTA = coronary computed tomography angiography, LSI = lesion-specific ischemia, SVR = support vector regression, LAD = left anterior descending artery, FFR = fractional flow reserve.



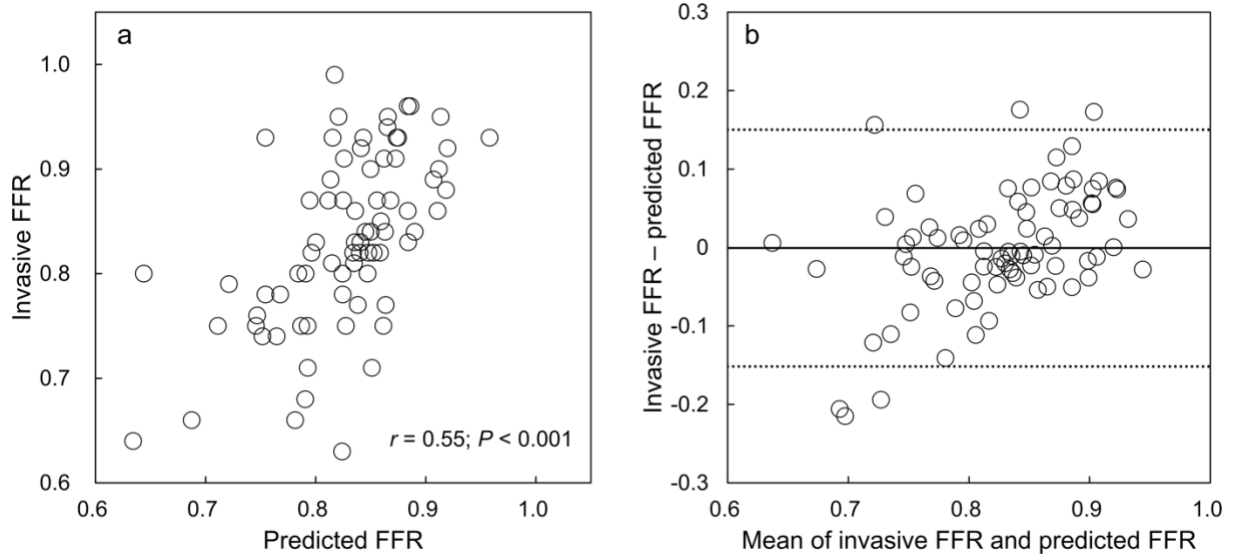
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3 **Fig. 5** Evaluation of predicted resting full-cycle ratios (RFRs) using the ridge prediction model. (a) Correlation  
4 between invasive and predictive RFRs. (b) Bland-Altman plot of invasive and predicted RFRs.



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6

1 **Fig. 6** Evaluation of predicted FFRs using the SVR prediction model. (a) Correlation between invasive and  
2 predicted FFRs. (b) Bland-Altman plot between invasive and predicted FFRs. FFR = fractional flow reserve, SVR  
3 = support vector regression.



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1 **Table**

2 Table 1 Forty-two features used in the prediction models

Coronary computed tomography angiography (CCTA) image	
$D_{\text{prox}}$ , Hounsfield units	difference in CT values between non-stenotic <sup>†</sup> and stenotic section in proximal lesion
$D_{\text{dis}}$ , Hounsfield units	difference in CT values between non-stenotic and stenotic section in distal lesion
$DR_{\text{prox}}$	differential ratio of CT values between non-stenotic and stenotic section in proximal lesion
$DR_{\text{dis}}$	differential ratio of CT values between non-stenotic and stenotic section in distal lesion
$L_{\text{prox}}$ , mm	lesion length in proximal lesion ( $\geq 25\%$ diameter stenosis severity)
$L_{\text{dis}}$ , mm	lesion length in distal lesion ( $\geq 25\%$ diameter stenosis severity)
$DS_{\text{prox}}$	diameter stenosis severity in proximal lesion
$DS_{\text{dis}}$	diameter stenosis severity in distal lesion
$D_{\text{prox}} * L_{\text{prox}}$	product of differences in CT values and lesion length in proximal lesion
$D_{\text{dis}} * L_{\text{dis}}$	product of differences in CT values and lesion length in distal lesion
$D_{\text{prox}}/L_{\text{prox}}$	ratio of differences in CT values to lesion length in proximal lesion
$D_{\text{dis}}/L_{\text{dis}}$	ratio of differences in CT values to lesion length in distal lesion
$DR_{\text{prox}} * L_{\text{prox}}$	product of differential ratio in CT values and lesion length in proximal lesion
$DR_{\text{dis}} * L_{\text{dis}}$	product of differential ratio in CT values and lesion length in distal lesion
$DR_{\text{prox}}/L_{\text{prox}}$	ratio of differential ratio in CT values to lesion length in proximal lesion
$DR_{\text{dis}}/L_{\text{dis}}$	ratio of differential ratio in CT values to lesion length in distal lesion
$CMM_{\text{total}}$ , mL	CCTA-derived total left ventricular myocardial mass
$CMM_{\text{total}}/BSA$	ratio of the CCTA-derived total left ventricular myocardial mass to BSA
$CMM_{\text{major}}$ , mL	CCTA-derived myocardial mass supplied by the major coronary artery containing the lesion
$MMAR_{\text{prox}}$ , mL	CCTA-derived myocardial mass at risk in the proximal lesion
$MMAR_{\text{dis}}$ , mL	CCTA-derived myocardial mass at risk in distal lesion
$CMM_{\text{major}}/CMM_{\text{total}}$	ratio of the CCTA-derived myocardial volumes supplied by the major coronary artery containing the lesion to the total left ventricular
$MMAR_{\text{prox}}/CMM_{\text{total}}$	ratio of CCTA-derived myocardial mass at risk in proximal lesion to the total left ventricular

MMAR <sub>dis</sub> /CMM <sub>total</sub>	ratio of CCTA-derived myocardial mass at risk in distal lesion to the total left ventricular
MMAR <sub>prox</sub> /CMM <sub>major</sub>	ratio of the CCTA-derived myocardial mass at risk in proximal lesion to the supplied by the major coronary artery containing the lesion
MMAR <sub>dis</sub> /CMM <sub>major</sub>	ratio of the CCTA-derived myocardial mass at risk in distal lesion to the supplied by the major coronary artery containing the lesion
Valsalva diameter, mm	mean of the long and short diameters in the Valsalva
Clinical information	
Age, years	age
Gender	male or female
Height, m	height
Weight, kg	weight
BMI, kg/m <sup>2</sup>	body mass index
BSA, m <sup>2</sup>	body surface area
Coronary risk factor	frequency of coronary risk factors
Hypertension	presence or absence of hypertension
Diabetes mellitus	presence or absence of diabetes mellitus
Current smoking	presence or absence of current smoking
Previous PCI	presence or absence of previous PCI
sBP, mmHg	systolic blood pressure
dBP, mmHg	diastolic blood pressure
mBP, mmHg	mean blood pressure
pulse pressure, mmHg	pulse pressure

1 †Data are mean CT value of the non-stenotic sections proximal and distal to a stenosis.

2

3

1 Table 2 Eighty-eight prediction models comprising combinations of 5 feature-selection methods and 10  
 2 regression algorithms

Regression algorithm	Feature selection method
support vector regression (kernel; linear)	RFE, SFFS, SBFS, elastic net, XGB
support vector regression (kernel; radial basis function) <sup>†</sup>	SFFS, SBFS, elastic net, XGB
least absolute shrinkage and selection operator <sup>‡</sup>	non, RFE, SFFS, SBFS, elastic net, XGB
ridge	RFE, SFFS, SBFS, elastic net, XGB
elastic net <sup>‡</sup>	non, RFE, SFFS, SBFS, elastic net, XGB
partial least squares	RFE, SFFS, SBFS, elastic net, XGB
k-nearest neighbor <sup>†</sup>	SFFS, SBFS, elastic net, XGB
multilayer perceptron <sup>‡</sup> (hidden layer size; 6 to 12)	non, SFFS, SBFS, elastic net, XGB
random forest <sup>‡</sup>	non, RFE, SFFS, SBFS, elastic net, XGB
XGB <sup>‡</sup>	non, RFE, SFFS, SBFS, elastic net, XGB
light gradient boosting <sup>‡</sup>	non, RFE, SFFS, SBFS, elastic net, XGB

3 Note.- XGB = extreme gradient boosting, RFE = recursive feature elimination, SFFS = sequential forward  
 4 floating selection, SBFS = sequential backward floating selection.

5 <sup>†</sup>For regression algorithms that do not assign weights to the features, it is not possible to build the predictive  
 6 model using RFE.

7 <sup>‡</sup>With regression algorithms that allow dimensionality reduction of features, the prediction models were built  
 8 without using feature selection methods.

9

1 Table 3 Patient demographics

Variables	LSI cohort (n=40)	Non-LSI cohort (n=36)	P value
Age (year) <sup>†</sup>	74 ± 12	70 ± 12	0.11
Male sex	26 (65)	25 (69)	0.68
BMI (kg/m <sup>2</sup> ) <sup>†</sup>	24.1±3.3	23.7±3.3	0.61
Systolic blood pressure (mm Hg) <sup>†</sup>	130.1±14.8	130.6±16.8	0.94
Diastolic blood pressure (mm Hg) <sup>†</sup>	70.6±10.4	73.0±10.1	0.32
Previous percutaneous coronary intervention	12 (30)	18 (50)	0.07
Cardiovascular risk factors			
Hypertension	35 (88)	30 (83)	0.61
Hyperlipidemia	28 (70)	27 (75)	0.63
Current smoking	18 (45)	15 (42)	0.77
Diabetes mellitus	20 (50)	16 (44)	0.63
Target vessel			
Total	46	53	
Left anterior descending artery	32 (70)	23 (43)	< .01
Left circumflex artery	6 (13)	11 (21)	0.31
Right coronary artery	8 (17)	17 (32)	0.09
Other	0 (0)	2 (4)	0.18
Diameter stenosis severity ≥ 50% on CCTA	35 (76)	36 (68)	0.37
Stent implanted	6 (13)	15 (28)	0.06
Fractional flow reserve <sup>†</sup>	0.74±0.06	0.88±0.05	< .0001
Resting full-cycle ratio <sup>†</sup>	0.84±0.08	0.95±0.04	< .0001
Therapeutic decision			

Optimal medical therapy	10 (22)	53 (100)	< .0001
Percutaneous coronary intervention	26 (57)	0 (0)	< .0001
Coronary artery bypass grafting	10 (22)	0 (0)	< .0001

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- 1 Note.- Unless otherwise specified, data are the number of lesions, with percentages in parentheses. BMI = body
- 2 mass index, CCTA = coronary computed tomography angiography, LSI = lesion-specific ischemia.
- 3 †Data are means ± standard deviation.

1 Table 4 Top 5 features used in the 10 subsets of two LSI detection models

2

Prediction model	Regression algorithm	#1 feature	#2 feature	#3 feature	#4 feature	#5 feature
Resting full-cycle ratio	Ridge	$DR_{dis}$	$MMAR_{dis}$	$D_{prox}/L_{prox}$	$DR_{dis}/L_{dis}$	$DR_{prox}$
	PLS	$DR_{dis}$	$MMAR_{dis}$	$D_{dis}/L_{dis}$	$L_{prox}$	$D_{dis} * L_{dis}$
Fractional flow reserve	SVR	$D_{dis}$	$DR_{prox} * L_{prox}$	$CMM_{major}$	$D_{dis} * L_{dis}$	$D_{prox} * L_{prox}$
	Ridge	$DR_{dis}/L_{dis}$	$D_{dis}$	$DR_{prox} * L_{prox}$	$D_{dis}/L_{dis}$	$CMM_{major}$

3 Note.- LSI = lesion-specific ischemia,  $DR_{dis}$  = differential ratio of CT values between non-stenotic and stenotic  
4 section in distal lesion,  $D_{dis}$  = difference in CT values between non-stenotic and stenotic section in distal lesion,  
5  $L_{dis}$  = lesion length in distal lesion ( $\geq 25\%$  diameter stenosis severity),  $MMAR_{dis}$  = coronary CT angiography-  
6 derived myocardial mass at risk in distal lesion,  $DR_{prox}$  = differential ratio of CT values between non-stenotic and  
7 stenotic section in proximal lesion,  $L_{prox}$  = lesion length in proximal lesion ( $\geq 25\%$  diameter stenosis severity),  
8  $D_{prox}$  = difference in CT values between non-stenotic and stenotic section in proximal lesion,  $CMM_{major}$  =  
9 coronary CT angiography-derived myocardial mass supplied by the major coronary artery containing the lesion.

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1 Table 5 Diagnostic performances for two LSI detection models on vessel and patient basis

2

RFR regression algorithm (Feature selection method)	Ridge (SBFS)	PLS (SBFS)
FFR regression algorithm (Feature selection method)	SVR linear kernel (Elastic net)	Ridge (SBFS)
Per vessel (n=99)		
Accuracy	0.88 (87/99) [0.81, 0.94]	0.88 (87/99) [0.81, 0.94]
Sensitivity	0.78 (36/46) [0.70, 0.86]	0.83 (38/46) [0.75, 0.90]
Specificity	0.96 (51/53) [0.92, 1.00]	0.92 (49/53) [0.87, 0.98]
PPV	0.95 (36/38) [0.90, 0.99]	0.90 (38/42) [0.85, 0.96]
NPV	0.84 (51/61) [0.76, 0.91]	0.86 (49/57) [0.79, 0.93]
Per patient (n=76)		
Accuracy	0.88 (67/76) [0.81, 0.95]	0.87 (66/76) [0.79, 0.94]
Sensitivity	0.80 (32/40) [0.70, 0.86]	0.85 (34/40) [0.77, 0.93]
Specificity	0.97 (35/36) [0.92, 1.00]	0.89 (32/36) [0.82, 0.96]
PPV	0.97 (32/33) [0.90, 0.99]	0.89 (34/38) [0.83, 0.96]
NPV	0.81 (35/43) [0.76, 0.91]	0.84 (32/38) [0.76, 0.92]

3 Note.- Data are rate with raw data in parentheses and 95% confidence intervals in brackets.

4 LSI = lesion-specific ischemia, RFR = resting full-cycle ratio, FFR = fractional flow reserve, PPV = positive  
 5 predictive value, NPV = negative predictive value, SBFS = sequential backward floating selection, SVR =  
 6 support vector regression, PLS = partial least squares.

7

1 Table 6 Comparisons in diagnostic performance for the detection of lesion-specific ischemia between the present  
 2 and previous studies  
 3

Author (year)	Prediction	No. of patients <sup>†</sup>	No. of vessels <sup>†</sup>	Target vessels	Reference	Methods	Sensitivity	Specificity	Accuracy	PPV	NPV
Current study	RFR, FFR	76	99	Intermediate DS	Hybrid RFR-FFR	ML	0.78	0.96	0.88	0.95	0.84
Witberg et al. (2020)	FFR	588	700	Data not available	FFR	RFA	0.91	0.94	0.93	0.91	0.94
Driessen et al. (2019)	FFR	157	505	All	FFR	CFD	0.90	0.86	0.87	0.65	0.96
Coenen et al. (2018)	FFR	351	528	Data not available	FFR	ML	0.81	0.76	0.78	0.70	0.85
Tesche et al. (2018)	FFR	85	107	Intermediate DS	FFR	ML	0.79	0.94	0.87	0.87	0.90

4 Note.- Unless otherwise specified, data are rate. RFR = resting full-cycle ratio, FFR = fractional flow reserve,  
 5 DS = diameter-stenosis severity, ML = machine learning, RFA = rapid flow analysis, CFD = computational fluid  
 6 dynamics, PPV = positive predictive value, NPV = negative predictive value.

7 †Data are the number.